

**DAM REHABILITATION AND IMPROVEMENT PROJECT (DRIP)  
Phase II and Phase III  
(Funded by World Bank)**

**PYKARA DAM**

**PROJECT IDENTIFICATION CODE: TN12HH0006**

**ENVIRONMENT AND SOCIAL DUE DILIGENCE REPORT**



**JULY 2020**

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**Tamil Nadu Generation and Distribution Corporation  
(TANGEDCO), Tamil Nadu**



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## ABBREVIATIONS AND ACRONYMS

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AIDS	:	Acquired Immunodeficiency Syndrome
CA	:	Conservation Area
CDSO	:	Central Dam Safety Organisation
CE	:	Chief Engineer
COVID	:	Corona Virus Disease
CPMU	:	Central Project Management Unit
CWC	:	Central Water Commission
DE	:	Beyond Dam Area
DHARMA	:	Dam Health and Rehabilitation Monitoring Application
DI	:	Within Dam Area
DRIP	:	Dam Rehabilitation and Improvement Project
DSRP	:	Dam Safety Review Panel
E&S	:	Environment & Social
EAP	:	Emergency Action Plan
ESCP	:	Environmental and Social Commitment Plan
ESDD	:	Environmental and Social Due Diligence
ESF	:	Environmental and Social Framework
ESIA	:	Environmental and Social Impact Assessment
ESMF	:	Environment and Social Management Framework
ESMP	:	Environment and Social Management Plan
ESS	:	Environmental and Social Standard
ESZ	:	Eco-sensitive zone
FRL	:	Full reservoir level
GBV	:	Gender Based Violence
GIS	:	Geographic Information System
GRM	:	Grievance Redressal Mechanism
HEP	:	Hydroelectric Project
HIV	:	Human Immunodeficiency Virus
IA	:	Implementing Agency
IB	:	Inspection Bungalow
IPF	:	Investment Project Financing
LMP	:	Labour Management Procedure
MCM	:	Million Cubic Meters
MDDL	:	Minimum Draw down Level
MIS	:	Management Information System
MW	:	Mega watt
MWL	:	Maximum Water Level
OHS	:	Occupational Health & Safety
OHSP	:	Occupational Health & Safety Management Plan
PA	:	Protected Area
PAP	:	Project Affected Person
PDO	:	Project Development Objective
PE	:	Physical Environment
PMC	:	Project Management Consultancy

PPE	:	Personal Protective Equipment
PST	:	Project Screening Template
RET	:	Rare Endangered and Threatened
RFB	:	Request for Bids
SC	:	Scheduled Castes
SCADA	:	Supervisory Control and Data Acquisition
SDSO	:	State Dam Safety Organisation
SEA	:	Sexual Exploitation and Abuse
SEAH	:	Sexual Exploitation Abuse and Harassment
SEF	:	Stakeholder Engagement Framework
SEP	:	Stakeholder Engagement Plan
SF	:	Screening Format
SH	:	Sexual Harassment
SPMU	:	State Project Management Unit
ST	:	Scheduled Tribes
TANGEDCO:		Tamil Nadu Generation and Distribution Corporation
WB	:	World Bank
WQ	:	Water Quality

## 1.1 PROJECT OVERVIEW

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The Dam Rehabilitation and Improvement Project Phase II and Phase III (DRIP Phase II & Phase III) initiated by Ministry of Jal Shakti through Central Water Commission, with an objective to cover more States and more dams (after DRIP Phase I) across India to improve the safety and operational performance of these selected dams. This new Scheme will further strengthen the efforts of Government of India beyond ongoing DRIP Phase I. The project would continue to finance structural improvements along with dam safety institutional strengthening which shall break with the prevailing build-neglect-rebuild approach by giving greater emphasis to establishing innovative financing mechanism for regular O&M and dam rehabilitation, enhancing State capabilities to manage these critical assets through institutional strengthening, and introducing risk-informed dam safety management. The project development objective (PDO) is to increase the safety of selected dams and to strengthen institutional capacity for dam safety in participating States. The project components are as follows:

**Component 1:** *Rehabilitation and Improvement of Dams and Associated Appurtenances*, focusing on structural and non-structural measures at selected project dams. The proposed interventions will include, but not be limited to, around 35-40 kind of rehabilitation activities as done in ongoing DRIP. In addition, all important non-structural activities will also be taken up. In addition to these interventions, the project will require each rehabilitated dam to have basic instrumentation and could also support the development of additional systems to detect and respond to risks promptly, such as flood forecasting systems, early warning systems, data management and analysis software, and standardized dam safety instrumentation (i.e., Supervisory Control and Data Acquisition [SCADA]).

**Component 2:** *Dam Safety Institutional Strengthening*, focusing on regulatory and technical frameworks for dam safety assurance. The activities to be carried out will include, but not be limited to, targeted training nationally and internationally to all partner agencies, development of Management Information Systems (MIS) and other programs to capture and analyze data for long-term planning and guiding of dam operations; support to the further development within CWC of the Dam Health and Rehabilitation Monitoring Application (DHARMA) program, support to the revision of existing guidelines on dam safety and preparation of new guidelines, as needed; rapid risk screening of dams, stakeholders consultation meetings for dissemination of prepared emergency action plans, updation of seismic hazard mapping of country, capacity building of academic and central institutions, public outreach programs, construction supervision & quality assurance activities etc.

**Component 3:** *Incidental Revenue Generation for sustainable operation and maintenance of dams*; in order to ensure long term sustainability of operations & maintenance of

existing dams, it is proposed to encourage the dam owners to explore the incidental revenue generation through innovative ideas i.e. Development of tourism, fisheries, secondary sources of power generation (hydel as well as solar), water recreation activities etc. and divert some part of this generated revenue for O&M of a given dam. Few pilot dams can be selected to experiment this innovation.

**Component 4: Project Management;** the overall responsibility for project oversight and coordination will rest with the CDSO of CWC. This Organisation will act as the Central Project Management Unit (CPMU). The CPMU will be assisted by a management and engineering consulting firm. Each state and other agency will establish a Project Management Unit (SPMU) attached to the Chief Engineer's (CE) office in charge of the SDSO or any such similar arrangement in power utilities. This Unit will have direct responsibility for the coordination and management of the project at state level.

The primary beneficiaries of the project are the communities that live in dam breach flood inundation areas and the communities that depend on water, irrigation and electricity services provided by the dams that could be compromised by poor dam performance or failure. The Project will be taken up in 19 states covering 300 dams.

## 1.2 SUB-PROJECT DESCRIPTION – PYKARA DAM

Pykara dam is constructed across Pykara River in Nilgiris district. The Pykara Hydroelectric Project commissioned in 1932 was the first Power Project constructed in Tamil Nadu. It was expanded thereafter in stages. Its power house located at Singara runs with the flows from Pykara River which were routed through Glenmorgan Forebay. In 1938, to augment the power draft, Mukurthy dam was constructed to provide storage facility for the project and for installation of additional two units of 11 MW capacity.

The Pykara Dam was constructed during the year 1947-54 in the Nilgiri Hills at a latitude 11° 27' 42" N and longitude 76° 36' 12" E at an elevation of 2036m downstream of Mukkurthy dam to enable additional storage, thereby facilitating installation of more units to add 28 MW to the installed capacity of Singara Power house. The dam site is located near the Ooty – Gudalur - Mysore road and it is 21 km away from Ooty.

One Mini Micro Power House is installed with a capacity of 2 MW at the toe of Pykara Dam. The tail water of Pykara dam and outflow of Mini Micro Power House are being utilized by both Singara old Power House and new underground Pykara Ultimate Stage HEP (3X50 MW).

Salient features of the project area are reported below:

1	River	Pykara River
2	Location of the Dam	The Pykara Dam was constructed across Pykara river. This Dam is located at 21 Km away from Ooty, Nilgiris District, Tamil Nadu.
3	Latitude	11° 27' 42" N
4	Longitude	76° 36' 12" E
5	Total Catchment Area	73.56 km <sup>2</sup>



6	Original Inflow Design Peak Flood	877 cumec
7	Maximum observed flood peak (m <sup>3</sup> /s)	1841 cumec on 08/06/2015
8	Revised Inflow Design Peak Flood	1841 cumec
9	Type of Dam	Composite Dam
10	Scheme work Commenced	1947
11	Works Completed	1954
12	First full impoundment	1954
13	Gross Storage Capacity at FRL	56.63 MCM
14	Live Storage Capacity	30.52 MCM
15	Reservoir Spread Area (km <sup>2</sup> ) at FRL	4.49 Sq.Km
16	Length of Dam	193 m
17	Length of Embankment dam	63 m
18	Length of Masonry/Concrete dam	130 m
19	Elevation of top of Embankment Dam	2073.86 m
20	Elevation of top of Masonry/Concrete Dam	2073.86 m
21	Height of Embankment Dam above Lowest River Bed Level	46 m
22	Height of Masonry/Concrete Dam above deepest foundation level	56 m
23	Maximum Water Level	2072.90 m
24	F.R.L.	2072.90 m
25	MDDL	2048.86 m
26	Lowest River Bed Elevation	2036.06 m
27	Top width of Masonry/Concrete Dam	5.50 m
28	Top width of Embankment Dam	6 m
29	Spillway	Ogee, Central spillway
30	Length of the Spillway	38 m
31	Crest level of Spillway	2067 m
32	Discharge Capacity of Spillway	877 cumec
33	Spillway gate	Lift 3 Nos.; 10.5 m x 5.9 m size
34	Sluice sill level	2038.19 m



**View of Dam**

### **Proposed Interventions/ Activities and intended Outcomes**

Dam Safety Review Panel (DSRP) constituted by CWC, Government of India has inspected and made a review of Pykara dam on 14/12/2019 and recommended measures to improve the safety and performance of dam and associated appurtenances in a sustainable manner, and also to strengthen the dam safety institutional set-up.

The objectives of the project are to be achieved through investments for physical and technological improvement activities, managerial upgrading of dam operations, management and maintenance, with accompanying institutional reforms. The project will improve the safety and operational performance of dam and mitigate risks to ensure safety of downstream population and property. The following rehabilitation proposals as described in the PST have been formulated based on DSRP recommendations and these proposals form the basis for preparation of present ESDD report.

### **Structural Rehabilitation Works**

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1. Standardisation of Earthen bund
  - Repairs to revetment/rip-rap in u/s face
2. Special repairs to masonry portion of dam
  - Reaming the drainage shaft
  - Reaming Foundation Shaft
  - Energy dissipation arrangement
  - Colour washing, Painting & cement washing dam, chipping, Sand Blasting, Flush Pointing
  - Jungle (shrubs) clearance
  - Supply and erection of entrance gate
  - Gauge plate
3. Repairs to shutters
  - Repairs/replacement of shutters with seals
  - Repair/renewal of hoisting arrangements
  - Painting gates
4. Providing electrification to dams
  - lights on the top of the dam, gallery, approach road
  - Dam Electrification
5. Special repairs/constructions/improvements to buildings including electrification and fencing
  - Camp office, Quarters, IB, Police Guard Room

### **Basic Facilities Enhancement**

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6. Special repairs to
  - Approach road to dam
  - Road on top of dam
  - Drinking water arrangements
7. Standby Generators
8. Boats



**Figures 1.1 and 1.2** provide photographs of key infrastructure proposed for rehabilitation works and also major interventions locations.



DSRP Team's Inspection of Pykara dam



Earthen Dam Upstream Rip Rap



Masonry Dam Upstream Left Flank Protection Work



Downstream Right Flank Protection wall below catch water drain



View of Downstream Right Flank



Approach steps to scour vent on the upstream side



Earthen Dam top to be Concreted



Approach road to dam on both Flanks to be Resurfaced





Approach road to Dam on both Flanks & Camp to be Resurfaced. ROW 3.50 m & Length 3.55 Km - there is no widening and tree cutting involved



Left flank Down Streams Steps to be Repaired



Upstream Left Flank Approach Steps to be Repaired



Down Stream Afflux Cutters to be Renovated



DG Room, Police Guard Room and Gauge Reader Room to be Renovated



Overhauling, Welding and Painting of Spillway Gates to be Done



Chequered Plates at Hoisting Platform to be Replaced





Control panels and Cables to be Replaced



Overhauling of Scour vent Gate and Intake Gate to be Done

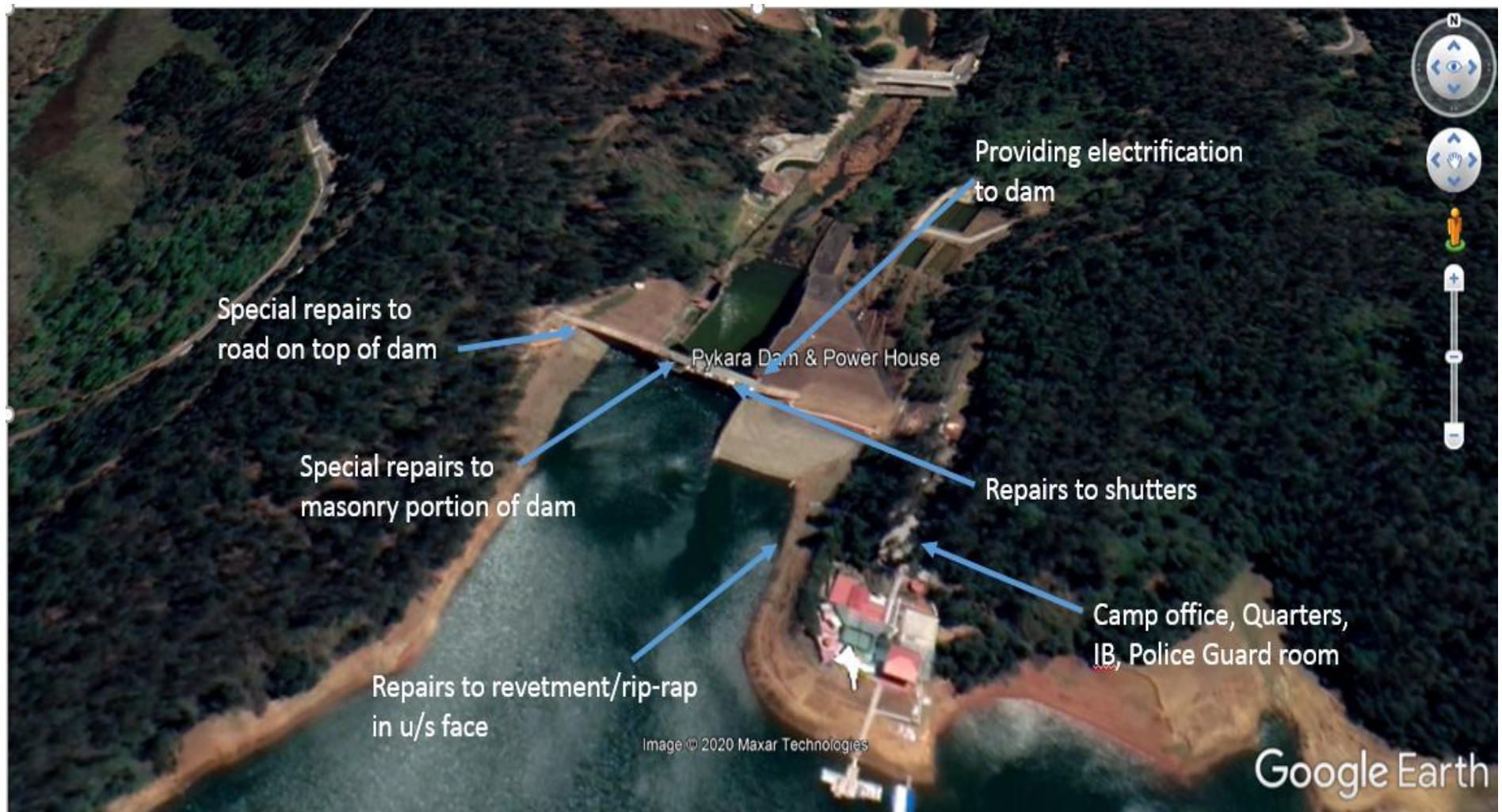


Extensive overhauling of Hoisting Mechanism and Replacement of Chequered Plates at Scour Vent Hoisting Platform to be Done



Overhauling of Scour Vent Sluice Valve Mechanism to be Done

**Figure 1.1: Selected Photographs of Improvement/Intervention area**



**Figure 1.2: Project Area showing major intervention locations**

### 1.3 IMPLEMENTATION ARRANGEMENT AND SCHEDULE

As can be seen from the list of activities proposed under dam rehabilitation project; the activities for Pykara dam can be floated as one main package consisting of Civil, Mechanical and Electrical works. Works will be carried out by Contractor(s) as these are labour intensive activities and would be completed over a period of 18 months. IA will hire Contractor(s) based on national open competitive procurement using a Request for Bids (RFB) as specified in the World Bank's – Procurement Regulations for IPF Borrowers, July 2016, (Revised August 2018 Procurement Regulations), and is open to all Bidders as defined in the Procurement Regulations. Following is the overall implementation and procurement schedule:

a) Overall Phasing of Project Implementation:

Proposed Starting of implementation (MM/DD/YYYY): 01/2021

Proposed Ending of implementation (MM/DD/YYYY): 06/2022

Implementation Duration (months) (MM): 18 months

b) Timeline phasing of implementation:

Sl. No.	Description	From (month/year)	To (month/year)
1	Main package C M E works	01/2021	06/2022
2	Other Packages	NIL	
3	Procurement – instrumentation, goods, inspection vehicles	NIL	

### 1.4 PURPOSE OF ESDD

The overall project (DRIP II) was categorized as **High Risk** as per the internal Environment and Social Risk Classification of the Bank. The Environment and Social Due Diligence has been conducted to use it as a tool for decision-making on the sub-project with the following specific objectives:

- i. To identify, evaluate and manage the environment and social risks and impacts of the sub-project in a manner consistent with the ESSs;
- ii. To adopt a mitigation hierarchy approach to the project's E&S risks i.e. a) anticipate and avoid risks and impacts; b) minimize or reduce risks and impacts to acceptable levels, if not avoidable; c) once risks and impacts have been minimized or reduced, mitigate; and (d) where significant residual impacts remain, compensate for or offset them, where technically and financially feasible;
- iii. To help identify differentiated impacts on the disadvantaged or vulnerable, if any, and to identify differentiated measures to mitigate such impacts, wherever applicable;
- iv. To assess the relevance and applicability of environmental and social institutions, systems, laws, regulations and procedures in the assessment, development and implementation of projects, whenever appropriate; identify gaps, if any exist, and
- v. To assess borrower's existing capacity, gaps therein, and identify areas for enhanced capacity towards management of E&S risks.



- vi. Based on the categorization of Environment and Social risks and impacts of the Dam sub-project, to determine whether ESIA is to be carried out using independent third-party agency or a generic ESMP customized to mitigate E&S risks and impacts will suffice.

## **1.5 APPROACH AND METHODOLOGY OF ESDD**

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The following approach has been adopted for ESDD:

- i. Study sub-project information, proposed interventions, their magnitude and locations and carry out assessment of each proposed intervention to identify the magnitude of E&S risk and impacts;
- ii. Review relevance and applicability of national and state legal requirements and Bank's ESF policy, standards and directives and preliminary assessment of applicability of legal requirement and ESS framework (2-8)
- iii. Conduct site visit to understand baseline environment and social settings, proposed activities under the sub-project, their location and sensitivity, if any.
- iv. present key baseline data essential for impact assessment in immediate vicinity area of proposed interventions from secondary sources, such as land-use, protected areas in vicinity, ascertain presence of indigenous (schedule tribe)/vulnerable people, etc.
- v. Undertake institutional assessment to identify existing capacities & relevant gaps to manage E&S risks and impacts
- vi. Conduct preliminary stakeholder consultations to help identify potential stakeholders; to provide information on the proposed interventions; to identify issues and concerns; and ascertain appropriate mechanisms for continued engagement
- vii. Carry out activity wise environment and social screening and identify risks and impacts. Classify the sub-project based on risk level (low, moderate or substantial and high) and recommend commensurate plans/measures to meet identified risks and impacts.



**2.1 POLICY AND LEGAL FRAMEWORK**

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India has well defined environmental and social regulatory framework. The regulation applicability depends on nature of work and location of work. Broadly legislation can be divided into four categories viz environmental, forests, wildlife conservation and social. The applicability analysis of regulations pertaining to all the above four categories was carried out. The applicability of World Bank ESF comprising, 10 ESSs (ESS1 to ESS10) to the proposed rehabilitation proposals and Standard specific requirements were analyzed. Further, a comparison of national environmental and social regulations versus World Bank's ESS has been carried out along with the gap analysis. Applicability of Indian regulations, World Bank's ESS along with comparison and gap analysis is discussed in ESMF.

Central Water Commission, Ministry of Jal Shakti, Government of India has prepared "Operational Procedures for Assessing and Managing Environmental Impacts in Existing Dam Projects" and is under publication as a guiding document for the dam owners to systematically address in advance the environmental safeguard requirements and have discussed in detail all applicable legal requirement. Reference has been drawn from this document as well, while carrying out applicability analysis.

Indian environmental regulation requiring environment clearance is for new dam projects specifically for the purpose of hydropower generation and / or irrigation projects and vary with generation capacity for hydropower projects and culturable command area served by irrigation projects. Forest related clearances become applicable, if new or any modification in any existing project require diversion of forest land for non-forestry purposes. Wildlife Clearance process gets triggered if the project is in proximity to protected area or activities are proposed within protected or conservation areas.

Therefore, for the proposed dam rehabilitation activities at Pykara Dam, works do not trigger the regulatory provisions and hence clearances are not required.

**2.2 DESCRIPTION OF INSTITUTIONAL FRAMEWORK**

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The sub-project will be implemented by Tamil Nadu Generation and Distribution Corporation, Government of Tamil Nadu. TANGEDCO being responsible for power generation, transmission and distribution; have a well-established customer complaint system for power consumer; where they can register their complaints 24x7 on dedicated line (1912). It also has a 24x7 Chairman's complaint cell with phone number and whatsapp numbers. In addition, it has established a Consumer Grievance Redressal Forum, where consumers can register complaints online/manually, directly or through a representative to be resolved within a period of 60 days; with a provision of filing appeal in next 30 days if the complainant is not satisfied with the redressal.

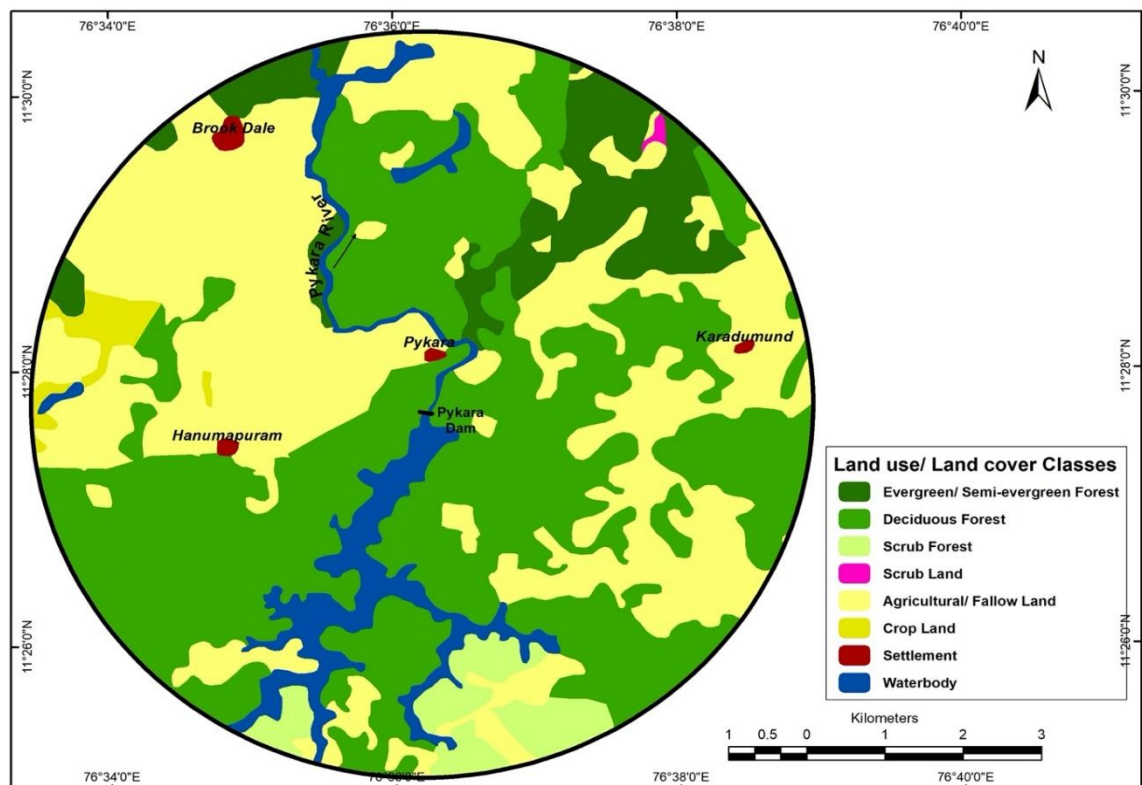
Tamil Nadu Generation and Distribution Corporation do not have in-house expertise to address E&S issues. As per the suggestions of CPMU/CWC, it is proposed to outsource consultancy services of Environmental and Social experts to assist TANGEDCO in resolving E&S issues.

Assessment of physical, ecological and socio-economic conditions at dam site and immediate surrounding has been carried out based on secondary information and site observations; as discussed below.

## 3.1 PHYSICAL ENVIRONMENT

### Land Use/ Land Cover

The project surrounding area land use and environmental sensitivity was analysed using GIS techniques. Land use/ Land cover map within 5 km radius of dam is presented at **Figure 3.1**. As can be seen from the map, evergreen/semi-evergreen forest, deciduous forest, and agriculture/fallow land dominates the land use in project surrounding area. In addition, there are small patches of scrub forest and crop land; small and scattered settlement and water body (reservoir). However, the project activities will be confined to dam body only and no structural interventions are proposed beyond existing dam boundaries. Four major villages are identified in dam surrounding (within 5 km) viz. Pykara, Hanumapuram, Brook Dale and Karadumund.



*[(Source: Digital data on land use/land cover maps using bhuvan prepared by National Remote Sensing Centre (NRSC) with Institute of Remote Sensing College of Engineering Anna University along with further refinement using Google Earth]*

**Figure 3.1: Land Use and Land Cover Map of 5 km radius around Dam site**

## Natural Hazards

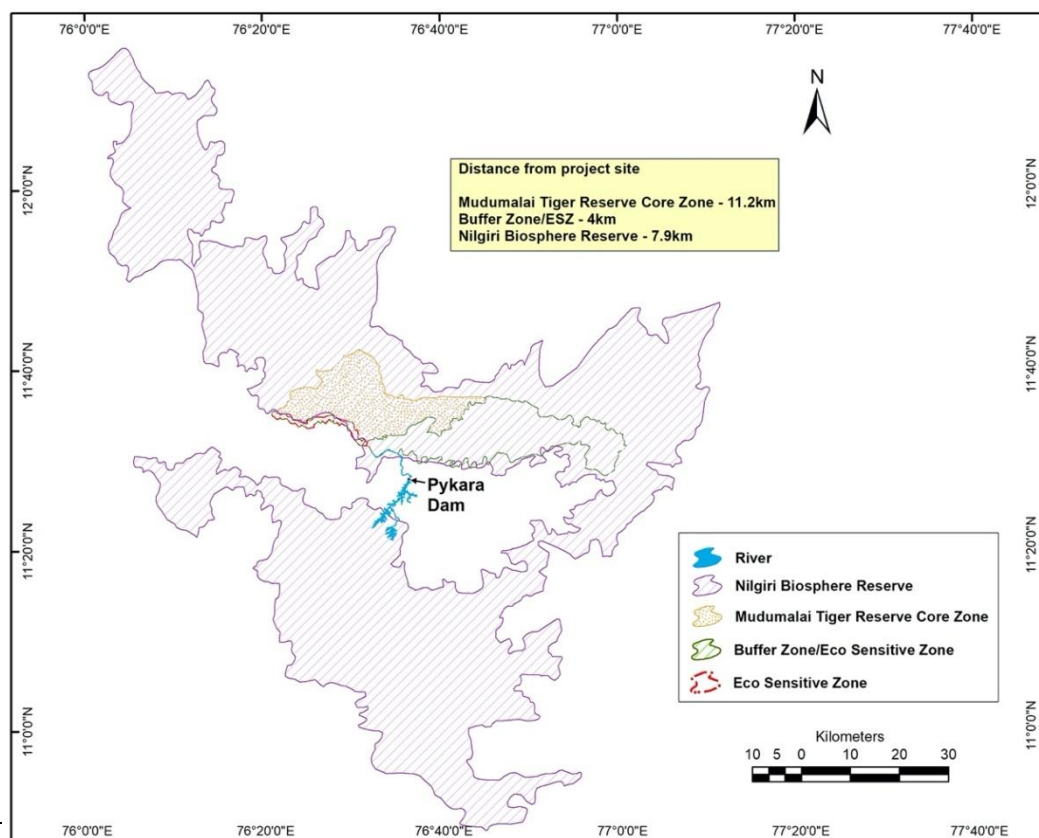
Potential of natural hazards such as earthquake have been assessed. Project falls in earthquake zone II, dam design has taken care of this aspect. (Earthquake Zones, viz. Zone II, III, IV and V. Zone II is the least active and Zone V is the most active.)

Original Design Flood of the project at MWL is 877cumec while the revised design flood as worked out by CWC is 1841 cumec which is substantially higher. The matter has been reviewed by experts from CWC and by World Bank; and it is observed that since there is absolutely no possibility of building extra spillway or providing auxiliary spillway on the reservoir rim, if the afflux rises above the MWL and encroaches in the free board for a short period of time, it would be acceptable. TANGEDCO has been advised to arrange to conduct and complete the Flood Routing Study at the soonest possible.

## 3.2 PROTECTED AREA

Protected areas near Pykara dam have been reviewed to assess the applicability of ESS 6. Mudumalai Tiger Reserve is about 11.2 Km away (shortest aerial distance). Tiger Reserve has 321.00 square kilometres as Core or Critical Tiger Habitat and 367.586 square kilometres as Buffer area and is part of Nilgiri Biosphere Reserve. In addition, lately an Eco-sensitive Zone (ESZ) has also been notified for the protection of tiger reserve. Buffer Zone (including notified ESZ) is about 4 Km from the dam and nearest boundary of Nilgiri Biosphere Reserve is about 7.9 Km from the dam. No rehabilitation work is proposed beyond the dam boundary, which can directly or indirectly impact the protected area, there ESS 6 will not be triggered.

The proposed rehabilitation works are inside the Pykara Dam boundary. All the materials movement, etc., are through reserved forest only. Since ESS6 is not triggered.



**Figure 3.2: Protected Areas around Dam Site**

### 3.3 SOCIAL ENVIRONMENT

The Pykara Dam is located across the river Pykara in the Nilgiris district in the state of Tamil Nadu. The proximity villages' areas i.e. villages which fall within 5 km distance from the dam, are Pykara, Hanumapuram, Brook Dale and Karadumund. There are no Schedule V<sup>1</sup> areas in state of Tamil Nadu. The district is divided into two revenue Divisions namely Coonoor and Gudalur. The district has six tehsils (taluk as) namely Coonoor, Kotagiri, Udthagamandalam, Kundah, Gudalur and Panthalur along with 4 Panchayat Unions (Community Development Blocks); Gudalur, Udthagamandalam, Kotagiri and Coonoor.

The economy of the district is basically dependent on non-agricultural activities & resources. A large number of tea processing industries are the major employment generation for the local people in the district. The brief demographic characteristic of the district is given in the table below:

<b>No. of Households</b>	<b>1,97,653</b>	<b>Household Size</b>	<b>04</b>
<b>Total Population</b>	<b>7,35,394</b>	<b>Population (0-6 age)</b>	<b>6 6,799</b>
Male	3,60,143	Boys (0-6 age)	3 3,648
Female	3,75,251	Girls (0-6 age)	3 3,151
Sex Ratio	1042	Sex Ratio (0-6)	985
<b>Population (SC)</b>	<b>2,35,878 (32.08 %)</b>	<b>Population (ST)</b>	<b>32,813 (4.46%)</b>
Male	1,15,917	Male	16,091
Female	1,19,961	Female	16,722
<b>Literates</b>	<b>5,69,647</b>	<b>Literacy Rate (in %)</b>	<b>85.20</b>
Male	2,99,447	Male	91.72
Female	2,70,200	Female	78.98
<b>No. of Workers</b>	<b>3,49,974</b>	<b>Cultivators</b>	15,645 (4.47%)
Male	2,12,172	<b>Agricultural Labours</b>	79,100 (22.60%)
Female	1,37,802	<b>Household Industrial Workers</b>	3,895 (1.11%)
<b>No. of Main Workers</b>	<b>3,18,924</b>	<b>Other Workers</b>	2,51,334 (71.82%)
<b>No. of Marginal Workers</b>	<b>31,050</b>		
<i>Source: Census of India, 2011 (District Handbook)</i>			

Schedule tribe population in the district is very limited (4.46%) and are scattered. There are only very few Scheduled Tribe households in the downstream areas and they are mainstreamed in the area with other households. No physical interventions planned in the downstream areas. Therefore, ESS7 is not applicable. Downstream areas including ST households will be taken into account during the preparation of Emergency Action Plan for Pykara Dam.

<sup>1</sup>**Scheduled Areas** are areas in India with a preponderance of tribal population subject to a special governance mechanism wherein the central government plays a direct role in safeguarding cultural and economic interests of **scheduled** tribes in the **area**.

## 3.4 CULTURAL ENVIRONMENT

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As per list of National Monuments in Tamil Nadu and list of State Protected monuments in Tamil Nadu; there are no protected monuments in and around dam site i.e. within 10 km radius of dam site.

## 4.1 SUB-PROJECT SCREENING

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The sub-project screening was undertaken following a set methodology. Process of risk / impacts identification was done using two step Screening process. Step I identifies the applicable sub-project activities, preconstruction and construction stage's major auxiliary or interventions related risks and impacts within the impact zone. Step II conducts an analysis of extent of risk viz. low, moderate, substantial and high associated with various sub activities related to each activity that was identified through Step I. All these were then summarized to arrive at overall dam sub-project risk category. Description of each step of screening as per formats, and the outcome of each step is given below.

**Step I Screening (using Form SF-1):** Sub-Project Component, Construction Support Preparatory Intervention related vs Nature of risk / impact

Scoping exercise was carried out to select the applicability of each activity based on the interventions proposed in the sub-project PST. Applicable interventions were further classified based on the location i.e. within dam area or outside the dam area and for each applicable intervention likely nature of risks and impacts has been listed.

Screening indicated that all project components related activities are limited to within the dam area/premises. Due to nature of these activities, likely impacts will be on physical environment in terms of air pollution, noise pollution and waste generation. None of the proposed structural interventions involve acquisition of private land and/or private assets. These activities in no way cause restriction on access to land or use of resources by local communities and there is no economic displacement envisaged due to the sub-project. Activities interfacing with water bodies – river/reservoir may have risk of spillage of chemicals, construction material, and debris leading to water pollution and impacts on fishes.

Pre-construction and construction stage major auxiliary or preparatory intervention are within dam area as well as beyond dam area. Deployment and haulage of heavy machinery, setting up of workshop, operation of concrete mixture and pumps will be within dam area. Other activities such as labour camp and debris disposal will be beyond dam area. Activities involving machinery and equipment will have OHS risks and impacts on physical environment. Transportation of material, debris/silt disposal and labour camp are likely to generate pollution and impact on physical environment.

Project will involve project managers and supervisors, contracted workers – these would also include migrant workers as all the required labour will not be fully supplied locally for a number of reasons, such as worker unavailability and lack of technical skills and capacity. Construction contractors are expected to stay at/near dam, set up construction equipment and machinery near work location at pre-determined/approved sites. Influx of skilled migrant labour, albeit few in numbers, for construction works is likely. The labour will stay outside the dam premises, hence risk of SEA/SH is likely.

Emergency Action Plan, Early Warning System and Flood Forecasting System, etc. would be required to be prepared. In that case, project will reach out to the disadvantaged and vulnerable persons and groups and involve them mainly during implementation. During preparation of EAP, dam break scenario will be simulated and inundation map prepared to delineate the potential risk area in case of an emergency situation. Similarly, during flood release scenario, area inundated will be delineated by simulation. Population in vulnerable areas under different release scenario will be identified and contacted through public consultation meetings. Communities will be made aware about the warning systems and do's and don'ts during such scenarios.

Output of this screenings enclosed as **Annexure I.**

**Step II Screening (using Form SF-2):** All applicable activities identified as having potential risks/impacts that were identified through Step I screening, are further screened for associated sub-activity and evaluated for the extent of risk. Sub-activity's Risk/Impact intensity is further categorised as Low (L), Moderate (M), Substantial (S) or High (H) based on following criteria:

Low	: Localized, temporary and negligible
Moderate	: Temporary, or short term and reversible under control
Substantial	: Medium term, covering larger impact zone, partially reversible
High	: Significant, non- reversible, long term and can only be contained/ compensated

Occupational Health and safety is treated as Substantial by default as its risk effect can be managed by adopting defined guidelines.

Analysis of extent of risk/impact for sub-activities resulted in identification of following activities as having Substantial Risk/impact.

- Special repairs to Approach road to dam and Road on top of dam
- Energy dissipation arrangement

All other activities are categorised as low risk activities. None of the activities for this sub-project is having substantial or high risk. The outcome of Screening is enclosed as **Annexure II.** In case of GBV/SEAH, this site was assessed as Low risk. Based on consideration of all the above, summary of Risk/Impact (as per outcome of SF-2 3) is summarised for major sub-project activities under **Table 4.1below.**

**Table 4.1: Summary of Identified Risks/Impacts in Form SF 3 2**

Project Activity	Environment Risks						Social Risks					
	Air, water, noise, land use, Soil, Resource use	Pollution downstream and upstream	General Ecology	Protected Area (Wild Life Sanctuaries, National Park and other natural habitat even if not protected)	Other RET species (flora and fauna) outside protected areas	Fish and Aquatic life within dam water body	Land	Tribal	Labour	Cultural heritage	GBV/ SEAH	OH and Safety to Labour/ Community
Civil (within Dam Boundary)	M	M	L	None	L	L	L	L	M	L	L	S
Hydro Mechanical/Electrical	L	L	L	None	L	L	L	L	L	L	L	S
Instrumental SCADA, surveillance	L	L	L	None	L	L	L	L	L	L	L	L
Road work	M	L	L	None	L	L	L	L	M	L	L	L
Major debris disposal	L	L	L	None	L	L	L	L	L	L	L	L
Labour camp	L	L	L	None	L	L	L	L	L	L	L	L

**Criteria for Risk Evaluation:**

**Low:** Localized, temporary and Negligible

**Moderate:** temporary, or short term and reversible under control

**Substantial:** medium term, covering larger impact zone, partially reversible

**High:** significant, non- reversible, long term and can only be contained/compensated

**Occupational Health and safety:** it will be treated as Substantial by default as OHS effect can be kept controlled and with negligible effect with adoption of defined guidelines,



## 4.2 STAKEHOLDERS CONSULTATION

In light of the COVID 19 pandemic, Government of India has announced a country wide lockdown between March 23 till May 17, 2020, that constrained holding of consultation meetings. However, to ensure the participation of stakeholders in ESDD preparation and record their views, Stakeholder consultation was made at Pykara dam on 06/05/2020. Two sets of questions are prepared, one for each category of stakeholders – direct workers and community and the responses are given below. Direct workers included Engineers/staff working at dam (present or working from home) – full time or contracted and community stakeholders included local people from locations like Punitha Nagar, Waterman Ship training centre under the control of DSSC at Pykara, Boat House at Pykara the Nilgiris.

Stakeholder consultation was conducted as part of environmental and social impact assessments, with a purpose to:

- a. provide initial information to the communities on the proposed project interventions and particularly the non-structural interventions.
- b. Help identify potential stakeholders who are involved at this stage and will be involved a later stage.
- c. assess their responses in understanding the potential risks and prepare mitigation plan to address their concerns

Stakeholder consultation was made at Pykara dam on 06/05/2020. List of participants is enclosed as **Annexure III**. Inputs were taken from permanent staff of the borrower (WRD) working at dam, workers from nearby village. In addition to them, the officers of Waterman ship Training Centre, running on immediate upstream of the Pykara dam under the Control of Defence service Staff Colleges/ Wellington/ Ooty, Boat House functioning on the upstream of Pykara dam which is under the control of Tamilnadu Tourism Development Corporation/ Nilgiris and TWAD Board/ Ooty were consulted.



**Following is the outcome of the stakeholder consultation exercise:**

**A. Interaction with Dam Engineers/Staff**

<b>Questions</b>	<b>Responses provided / Observations</b>
1. Please confirm whether all proposed structural rehabilitation activities for this dam are limited to dam compound only or any activities are proposed beyond dam complex like catchment area treatment plan, stabilization of reservoir rim area, slope stabilization, de-silting etc.? Please specify if any possibility of local community interference exist during the implementation of rehabilitation measures; including stakeholder's consultation meetings planned for dissemination of emergency action plans which is a non-structural measure.	The proposed structural Rehabilitation activities are within the dam compound only, on upstream left flank 100 m length slope protection work only involved. No desilting work involved in this dam. This dam is located in the Reserve forest area and there is no possibility of community interference during the implementation of Rehabilitation work including stakeholder's consultant meeting.
2. Is there any unsettled issues (legacy) related to displacement or resettlement, pending since time of dam construction? If yes, please give a brief detail.	The dam is located in the Reserve forest area, there were no displacement and resettlement issues during construction.
3. Any unauthorized encroachers or squatters living within the dam premise? If yes, are these not a threat for dam security and dam premise, any official action taken in the past, does the state government have legalized these squatters and these have full right in the property of dam authorities.	No encroachers (or) squatters within the dam premises so far.
4. What is the proposed institutional arrangement to deal the Environment and Social activities within the scheme i.e. in-house team of experts/hired agency or individual experts?	Dealing of Environmental and social activity by CWC environmental experts.
5. Who will be in charge of E&S related activities at dam site and at SPMU level?	Dam site : Executive Engineer/Civil/DRIP/Kundah SPMU : Executive Engineer/Civil/DRIP/Madurai
6. How do communities contact dam officials? Is there any existing mechanism known to communities to contact dam officials (through telephone/mobile/e-mail/official website)?	Through in person, mobile, Telephone, E-Mail & Office website.
7. What is existing mechanism to communicate with downstream communities/public on unregulated releases of water during high flood time siren/ written communication to district authorities/ telephone/ mobile/ text messages or any other mode of communication?	1. By siren. 2. Written Communication to the District Collector. 3. Advance intimation to the public/ downstream communities through mobile.
8. How do you ensure that downstream community is fully aware of the above existing mechanism?	Already educated the downstream community - by Department officials

<b>9.</b> Are there women employees at the dam site?	P. Sinduja, Assistant Engineer/ Civil/ Pykara T.R.Eswari /Switch Board Attender /Pykara
<b>10.</b> Is there any existing Grievance Redressal Mechanism (GRM) within the department to address any kind of grievance/complaints by general public?	Yes: Executive Engineer/Civil/DamSafety-I/Chennai @ HQrs Executive Engineer/Civil/DRIP/Madurai @ Field.
<b>11.</b> Details of any grievances received lately related to this new Scheme? a.	-Nil-
<b>12.</b> Is dam premise a restricted area or has open access to general public?	Access to Dam area – Fully restricted. In reservoir – Boating is permitted to public.
<b>13.</b> Are there tribal's living in the surrounding area of dam complex? Which tribes are these? Please give brief detail.	No Tribal's living in the surrounding dam area.
<b>14.</b> Does the dam have any tourism/water recreation facilities? If yes, how many approximate tourist visits annually, annual revenue generated, whether any portion of this generated revenue is diverted to regular O&M of this dam.	1. On upstream of the dam, one boat house is being maintained by Tamil Nadu Tourist Development Corporation on lease basis. They are paying about Rs. 8.10 lakhs/year to TANGEDCO. 2. Approximately 2.50 lakhs people are visiting the boat house every year. 3. On upstream side of the dam, there is one Defence Training Center, which is under the control of Defence Service Staff College (DSSC)/Wellington.
<b>15.</b> Do you engage any local labours for routine dam maintenance work? If yes, what is the process of engaging these locals for work at dam, whether through Government approved contractor or hired individually?	Routine Dam maintenance works are being done by department staff only.

## B. Interaction with Local Community

Questions	Responses provided / Observations
1. How many villages are in immediate downstream vicinity?	One small hamlet with 30 families on the downstream of the dam named as Punithanagar village. It is nearly about 1km from the downstream side of dam location.
2. Are they dependent on dam in any way for their livelihood?	No, they are not dependent on the dam. All the basic amenities required are fulfilled by the Solur Panchayat.
3. Does any of these villages were displaced and rehabilitated during the construction of Pykara Dam. Is there any pending compensation issues?	Dam area is fully covered in Reserved forest area. Displacement and Rehabilitation does not arise.
4. Is there any R&R affected person known to you who is currently working with the dam authorities? If so, in what capacity (employee/direct worker/contractor)	-NO-
5. Are you aware of any fishing communities living immediately downstream of dam whose livelihood are directly linked with the fishing activities of this dam?	-NO-
6. Are you aware of fishing working seasons, revenue earning, any access to general public for fishing, any suggestion etc.	-NO-
7. Are you aware of local women affected in any way by dam operations?	-NO-
8. Are you aware of any early flood warning system for this dam, or any other system wherein downstream communities getting regular update during flood season for any uncontrolled release of water?	Yes, The warning siren is already provided in the dam power House on downstream.  During uncontrolled flood time, the emergency warning siren is blown in advance in addition to pre - intimation through mobile.
9. Are you aware of any dam related incident happened in the past wherein some loss of life encountered? If yes, brief summary may be given	-NO-
10. If you have to contact the dam authorities; how will you contact, through telephone/mobile/e mail/personally?	Through in person, mobile, Telephone, E-Mail & Office website.

11. In the past, on any occasion, did you contact dam authorities for any specific reason affecting public in general? If so, how did you contact and how was the response of dam authority?	Such situation did not arise.
12. Give your views about Pykara dam, how this dam is helping Country, State, district or local communities in meeting its objectives, any specific concern can also be given?	<ol style="list-style-type: none"> <li>1. One Mini power house is running on downstream side of the dam with a capacity of 1 * 2 MW maintained by TANGEDCO.</li> <li>2. Tourism development by boat house maintained by TTDC.</li> <li>3. Training to the Defence people(DSSC) for boat sailing on upstream side.</li> </ol>
<p>13. (a) Are you aware of any document named Emergency Action Plan (EAP) of the dam?</p> <p>(b) If yes, do dam authorities conduct any annual mock drill or consultation meeting on dam site and invite all stakeholders to inform about various protocols in place and consequences in case dam fails?</p> <p>(c) In future, during stakeholder's consultation meeting, would you like to be a part of these consultation and mock drill activities to be conducted by dam authorities?</p> <p>(d) If yes, how to contact you, please give the corresponding address along with all details to receive the official communication.</p>	<p>-NO-</p> <p>Consultation meeting to be conducted.</p> <p>YES</p> <ol style="list-style-type: none"> <li>1. Thiru. K. Antony, D/o 325, Punitha Nagar Pykara, The Nilgiris. Ph No: 9487340213.</li> <li>2. Thiru. D. Vadivel, D/o 8/40, Punitha Nagar Pykara, The Nilgiris. Ph No: 9486959021.</li> <li>3. Thiru. K.Ramesh, D/o 349, Punitha Nagar Pykara, The Nilgiris. Ph No: 9626615452.</li> </ol>
14. Are you a regular follower of official website of dam authorities as a general public, in case you are a contractor, do you follow various tenders notices being invited for various maintenance of this dam?	-NO-
15. Any suggestion to improve overall system by dam authorities in any way, please give in brief?	Proposed Dam Rehabilitation and Improvement works as per DSRP recommendations shall be carried out as a safety measure.

Following is the summary outcome of the stakeholder consultation:

1. Agriculture is still the main occupation of people around the dam.
2. All the participants welcomed the proposed interventions relating to dam safety and ensured that our DRIP work will not affect the villages during execution.
3. There are no pending issues regarding dam construction related resettlement.
4. The participants explicitly mentioned that the dam is their lifeline and strengthening works will help their long term livelihood and therefore welcomed such information.
5. Participants have expressed that they do not have any grievances and as such no grievances were ever reported from their communities/neighbourhoods.
6. Defence Training Centre is giving training to the Defence Personnel for sailing of boat in 4 Batches/ Year, nearby about (4x36 Nos.) 144 persons/ Year. It is informed by MCPO that only 10 to 15 feet water depth is sufficient on upstream of the dam to maintain the Training Centre. Now, it is under Lock down condition. Therefore, during DRIP work execution the Training Centre will not get affected.
7. Nearly 2.50 lakhs tourists are visiting the Boat House per year. The Manager/ TTDC/ Ooty informed that 15 to 20 feet water depth is sufficient to run the Boat House.
8. One Pump House is available on upstream of the Pykara dam which is maintained by TWAD Board. AEE/ TWAD/ Ooty. It is drawing 1.70 million litres/ day water from Pykara reservoir and supplying water to the nearby 114 villages of Kadanadu Panchayat. It is confirmed that, the foot valve of the suction pipe is at 6720' (+2048.25 m) and minimum water level to be maintained is 10' feet above foot valve i.e. 6730' (+2051.30 m). During execution of DRIP works on upstream face of the dam, water level has to be depleted up to +6745' (+2056.00 m) only to attend upstream right bank riprap and left flank slope protection work.

#### **4.3 DESCRIPTIVE SUMMARY OF RISKS AND IMPACTS BASED ON SCREENING**

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Based on the above screening analysis, potential impacts and risks from the sub-project are summarised below:

##### ***Environmental Impacts and Risks***

1. Environment risks and impacts, as assessed above, for various project activities under this sub-project are categorised as Low and Moderate due to localised nature of proposed activities i.e. activities remain limited to dam area except for labour camp and muck/debris disposal.
2. Execution of civil and hydro-mechanical work within dam body will generate localised impacts on physical environment and resource use; pose risk of exposure of workers requiring personal protective equipment (PPE) use.
3. Civil work interfaced with water body especially such as repairs to revetment/rip-rap in u/s face as work on upstream face of dam pose risk of water pollution and impact on fish fauna.
4. Construction and demolition waste and muck require careful disposal at pre-identified and approved site to minimise the risk of pollution on this count.
5. No impact on general ecology is envisaged.

### ***Social Risk and impacts***

1. As the interventions are within the dam premises and on the dam structure, there shall be no adverse impacts on land and assets due to any sub-component or sub-activities
2. The dam is not located in the Schedule V area. Though are Scheduled Tribes households in the vicinity, these are mainstreamed into the overall society and do not meet the characteristics outlined in ESS 7. There will be no physical interventions.
3. Influx of migrant labour will be low as these works require only few but very skilled labour. Also these workers will mostly operate from labour camps within the dam premises/proximity and hence there would be minimal interface with communities and therefore significantly lower SEAH/GBV risks.
4. Waste generation from labour colony can pollute drinking water sources of community, risk is low and can be mitigated by providing adequate sanitation facilities.
5. No impacts are envisaged on cultural heritage as works shall not be undertaken in their vicinity or result in any impact.
6. Labour related risk would include:
  - Safety issues while at work like injuries/accidents/ fatalities leading to even death, while at work; Occupational health and safety risks due to exposure of workers to unsafe conditions while working at heights, working using lifts, handling of equipment and machinery, exposure to air and noise pollution etc. will be addressed through OHS guidelines.
  - Short term effects due to exposure to dust and noise levels, while at work
  - Long term effects on life due to exposure to chemical /hazardous wastes
  - Inadequate accommodation facilities at work force camp, including inadequate sanitation and health facilities
  - Sexual harassment at work
  - Absence or inadequate or inaccessible emergency response system for rescue of labour/workforce in situations of natural calamities.
  - Health risks of labour relating to HIV/AIDS and other sexually transmitted diseases
  - Non-payment of wages
  - Discrimination in Employment (e.g. abrupt termination of the employment, working conditions, wages or benefits etc.)
  - Unclear terms and conditions of employment
  - Discrimination and denial of equal opportunity in hiring and promotions/incentives/training opportunities
  - Denial for workers' rights to form worker's organizations, etc.
  - Absence of a grievance mechanism for labour to seek redressal of their grievances/issues.

## 5.1 CONCLUSIONS

### 5.1.1 Risk Classification

As per the ESDD exercise, risk/impacts that have been identified relate to Water Quality, Occupational Health, Physical Environment, labour and SEAH/GBV. The summarised environmental and social risks of identified activities with level of risk are presented in previous chapter. These risks are low to moderate and localised, short term and temporary in nature which can be managed with standard ESMP and guidelines. Environment risks of air, water, noise, land use, soil and resource use for some of the activities as well as social risks of labour and OHS to labour/community is Substantial. Environment risks of pollution downstream and upstream are categorised as Moderate due to interface with water bodies. Environmental risk relating to Labour camp has been flagged as Moderate on environment.

**Hence the overall risk of this sub-project Dam is categorized as Moderate**

### 5.1.2 National Legislation and WB ESS Applicability Screening

The applicability analysis of GoI legal and regulatory framework indicates that while, there are various legislations which will have to be followed by the contractor for the protection of environment, occupational health and safety of workers and protection of workers and employment terms. None of Indian legislation is applicable warranting obtaining clearance prior to start of construction/improvement work.

Five ESS standards are found relevant to this sub-project as per reasons given in **Table 5.1** below:

**Table 5.1: WB ESF Standards applicable to the sub-project**

Relevant ESS	Reasons for Applicability of the standard
ESS1 :Gender Based Violence or SEA/SH related actions	Leading to SEA/SH GBV risk
ESS2: Labour and Working Conditions	Direct workers, Contracted workers(for civil and hydro-mechanical works) and Community workers (likely to be engaged for EAP and other non-structural interventions)
ESS3: Resource Efficiency, Pollution Prevention and Management	Civil and hydro-mechanical/electrical work including resource consumption requiring protection of physical environment and conservation of resources
ESS 4: Community Health and Safety	Transportation of material, labour camp near habitation; and accidental risk during repair /improvement work and also leading to SEA/SH GBV risk; Community involvement during EAP preparation
ESS 10: Stakeholder Engagement Plan	For engagement of stakeholders in all interventions



## 5.2 RECOMMENDATIONS

### 5.2.1 Mitigation and Management of Risks and Impacts

Since risks and impacts are low to moderate category, standard guidance in accordance with the ESMF shall be followed. It shall cover the following aspects:

- a. IA shall customise the standard Environmental and Social Management plan (ESMP) that has been provided in the Environmental and Social Management Framework (ESMF) and make it part of bid document for effective adherence by contractors.
- b. It is recommended that ESMP provides due measures for protection of environment quality and resource conservation (during handling of resources) in line with ESF standard ESS3 requirements. Similarly, any impacts identified has to be conserved. Likewise, due attention has to be given to Occupational Health and Safety of workers and community in line with the requirements of ESS4 and World Bank Group guidelines on Occupational Health and Safety (OHS). Hence SPMU/IA shall prepare a standard ESMP in line with outline provided in the ESMF and ensure its adherence by contractor. The standard ESMP will address the following:
  - Gender Based Violence or SEA/SH related actions (ESS1)
  - Labour Management Procedure (ESS2)
  - Resource Efficiency and Pollution Prevention (ESS3)
  - Community Health and Safety (ESS4)
  - Stakeholders Engagement Plan (ESS10)
- c. Contractor shall submit BOQ as per ESMP of the sub project and will also include environmental and social budget as part of bid submission.

Mitigation plans to meet requirements for relevant Standards with responsibility and stages are given in **Table 5.2** below:

**Table 5.2: List of Mitigation Plans with responsibility and timelines**

WB-ESS Triggered	Mitigation Instrument	Responsibility	Timelines
ESS2: Labour and Working Conditions	<ul style="list-style-type: none"> <li>LMP</li> </ul>	SPMU/IA EAP, CHSMP	Before mobilization by Contractor
ESS 4: Community Health and Safety	<ul style="list-style-type: none"> <li>OHS MP</li> <li>GBV/SEAH Risk management</li> </ul>	SPMU for GBV/SEAH	GBV/SEAH by appraisal
ESS3: Resource Efficiency, Pollution Prevention and Management	<ul style="list-style-type: none"> <li>ESMP</li> <li>Muck Management Plan</li> <li>Resource Conservation Plan</li> </ul>	SPMU/IA , EAP, CHSMP	Before mobilization by Contractor

WB-ESS Triggered	Mitigation Instrument	Responsibility	Timelines
ESS 10: Stakeholder Engagement Plan	<ul style="list-style-type: none"> <li>SEP in accordance with project SEF</li> </ul>	SPMU/IA	By negotiation

IA shall disclose the finalised ESDD, ESMP, ESCP and other related plans on its website after formal approval from CPMU. Executive summary of proposed ESMF and SEF based on ESDD shall be translated and disclosed in local language.

### 5.2.2 Institutional Management, Monitoring and Reporting

ESMP will be developed by SPMU/IA and will be part of the bid document of the sub project and shall be shared with CWC by SPMU for their review/ endorsement and approval. SPMU/IA shall designate a Nodal Officer to coordinate and supervise E&S activities. The SPMU will hire the qualified staffs to support management of E&S risks including Environmental Expert, Social Expert for ensuring compliance with the Bank's ESF and ESS's and ensures that these activities shall be implemented as per the procedures. Specifically, as included in the ESCP, every SPMU shall be strengthened from environmental and social risk perspective during implementation of the sub-projects. A dedicated Environmental and Social staff with requisite skill shall be placed in the SPMU and will be utilized to enable (a) development/review of ESDD of each sub project either through an agency or in house, (b) E and S staff will coordinate to hire consultants where ESDDs suggest a high risk for undertaking detailed ESIA, (c) preparation of environmental and social management plans (ESMPs) based on type of risks as well subsequent implementation of mitigation measures during implementation. SPMU/IA will hire experts from outside department with relevant experience. These E&S experts will work in coordination with Project Management Consultancy (PMC) contracted by CPMU – CWC.

SPMU/IA shall advise contractors about applicable legislative requirements and ensure that contractors fully comply with applicable requirements and submit compliance reports to SPMU/IA on quarterly basis. SPMUs will share regular implementation status of ESMPs to CWC and The World Bank in line with Environmental Social Commitment Plan (ESCP) on quarterly basis.

SPMU/IA has established a Grievance Redressal Mechanism to receive and facilitate resolution of complaints and grievances, from the communities and other stakeholders including implementation partners. Grievance redress mechanism will address concerns and complaints promptly and transparently with no impacts (for any complaints made by project affected people (PAPs)). GRM will work within existing legal and cultural frameworks and shall comprise project level and respective State level redress mechanisms.

PMC for the project will have sufficient staff with skills on Environment and Social aspects. Awareness raising and capacity building on the new Environmental and Social Framework (ESF) has been prepared for the environment and social staff engaged and this will be an area of continued focus, with a view to generate awareness at dam level.

Project Management Consultancy (PMC) shall coordinate with CWC for approval, documentation, disclosure and implementation of these ESMPs in line with project ESMF and ESCP.

Overall, the proposed activities within this Dam sub-project have low to moderate risks resulting in the overall sub-project to be categorized as Moderate risk category. These risks and impacts can be effectively mitigated with effective implementation of mitigation plans by SPMU/IA, Contractors and monitoring by PMC, SPMU and CWC

### **Annexure - I: Form SF1**

Sl. No	Project Component	Applicable (A), Not Applicable (NA)	Environment and Social Risk Associated within dam area (DI), Beyond Dam Area (DE)	Likely Nature of Risk/Impact Water Quality (WQ), Fisheries(F), Conservation area(CA), Protected Area (PA), Ecological (E), Occupational Health (OH), Physical Environment (PE), Cultural (C), Tribal presence (T), impact on private land/ assets/ encroachers/ squatters (LA), Labour (L), GBV risks (G), (Write whichever is applicable)
1	2	3	4	5
<b>A</b>	<b>Nature of Project Component Related</b>			
1	Reservoir Desiltation	<b>NA</b>		
2	Major structural changes – Spillway construction (Improving ability to withstand higher floods including additional flood handling facilities as needed.)	<b>NA</b>		
3	Structural strengthening of dams to withstand higher earthquake loads	<b>NA</b>		
4	Structural Improvement/Repair work-upstream of Dam site (interfacing dam reservoir) (like Repairs to revetment/rip-rap in u/s face)	<b>A</b>	<b>DI</b>	<b>WQ, F, OH, PE, L, G</b>
5	Structural Improvement/Repair work -Downstream of Dam site (with no interfacing with dam reservoir) (like energy dissipating arrangement etc.)	<b>A</b>	<b>DI</b>	<b>WQ, OH, PE, L, G</b>
6	Remodelling earth dams to safe, stable cross sections	<b>NA</b>		
7	Hydro-mechanical/electrical activities with interface with dam reservoir	<b>A</b>	<b>DI</b>	<b>OH, WQ, L, G</b>
8	Hydro-mechanical/ electrical activities Downstream of Dam site (with no interfacing with dam reservoir)	<b>NA</b>		
9	Instrumentation, General lighting and SCADA systems	<b>A</b>	<b>DI</b>	<b>OH, L</b>
10	Basic Facilities (like access road improvement, renovation of office, etc)	<b>A</b>	<b>DI</b>	<b>OH, PE, L, G</b>
11	Utility installation like standby generator, or setting up solar power systems	<b>NA</b>		
12	Painting Work	<b>A</b>	<b>DI</b>	<b>WQ, OH, L</b>
13	Water recreation activities	<b>NA</b>		
14	Tourism Development	<b>NA</b>		
15	Solar power/floating solar	<b>NA</b>		
16	List any other component not listed above			
i	Jungle clearance	<b>A</b>	<b>DI</b>	<b>E, L, G</b>
<b>B</b>	<b>Pre-construction and</b>			

Sl. No	Project Component	Applicable (A), Not Applicable (NA)	Environment and Social Risk Associated within dam area (DI), Beyond Dam Area (DE)	Likely Nature of Risk/Impact Water Quality (WQ), Fisheries(F), Conservation area(CA), Protected Area (PA), Ecological (E), Occupational Health (OH), Physical Environment (PE), Cultural (C), Tribal presence (T), impact on private land/ assets/ encroachers/ squatters (LA), Labour (L), GBV risks (G), (Write whichever is applicable)
1	2	3	4	5
	<b>construction stage major auxiliary or preparatory intervention</b>			
1	Acquisition of forest land involved	NA		
2	Taking of private land (including physical or economic displacement, impact on livelihood; temporary loss of business)	NA		
3	Major Borrow materials requirement involved	NA		
4	Major Quarry materials requirement involved	NA		
5	Blasting involved	NA		
6	Resettlement and Rehabilitation	NA		
7	Types of project workers (Direct, Contracted, Community Workers (or Volunteers i.e. for EAP implementation)	A	DE	L, G
8	Labour Camp involved (location within dam premises or outside)	A	DE	WQ, PE, L, G
9	Migrant labour likely to be involved	A	DE	L, G
10	Heavy machinery to be deployed and related maintenance workshop set up involved	A	DI	OH, PE, L, G
11	Hot mix plant Requirement	NA		
12	Concrete mixture and heavy pumps to be deployed	A	DI	OH, PE, L, G
13	Temporary land acquisition involved	NA		
14	Temporary disruption to access, livelihoods	NA		
15	Tree felling/ vegetation clearance involved	NA		
16	Haulage of machinery involved	A	DI	OH, PE, L, G
17	Major Debris Disposal involved	A	DE	PE, L, G
18	Major Transport of materials involved	A	DE	PE, L, G
19	Utility shifting involved	NA		
20	Discharge of reservoir water ( lowering of reservoir water involved)	NA		
21	List any other not listed above			

## **Annexure – II: Form SF2**

Sl. No	Applicable Sub-Project Component/ Construction preparatory Work related Sub activity ( s per SC-1)	Nature of Risk (Conforming to Column 5 of SF-1) and nature of sub activity	Elaborate cause (risk) and its effect (Impact) on environment /social	Risk/Impact intensity for each type of risk/impact Low (L), Moderate (M), Substantial (S), High ( H)
1	2	3	4	5
A	<b>Project Component Related</b>			
1.	<b>Structural Strengthening/Improvement/Repair work - upstream of Dam site</b>			
a	Repairs to revetment/rip-rap in u/s face	<b>WQ, F, OH, PE, L, G</b>	Air pollution, noise pollution, risk of reservoir water contamination and impact on fishes, generation of construction debris, Occupational health and safety risk due to working on upstream face of dam, labour and GBV risk	<b>L</b>
b	Special repairs to masonry portion of dam <ul style="list-style-type: none"> <li>Reaming the drainage shaft</li> <li>Reaming Foundation Shaft</li> </ul>	<b>WQ, OH, PE, L, G</b>	Air pollution, noise pollution, water pollution, Occupational health and safety risk, labour and GBV risk	<b>L</b>
	Jungle clearance	<b>E, L, G</b>	Impact on ecology, labour and GBV risk	<b>L</b>
2.	<b>Structural Improvement/Repair work -Downstream of Dam site (with no interfacing with dam reservoir) (like repair of parapet walls, damage spillway crest, downstream training walls, etc.)</b>			
a	Energy dissipation arrangement	<b>WQ, OH, PE, L, G</b>	Air pollution, noise pollution, water pollution, generation of construction waste/ silt, Occupational health and safety risk, labour and GBV risk	<b>S</b>
b	Colour washing, Painting & cement washing dam, chipping, Sand Blasting, Flush Pointing	<b>WQ, PE, L, G</b>	water pollution, hazardous waste, Labour and GBV risk	<b>L</b>
c	Special repairs to <ul style="list-style-type: none"> <li>Approach road to dam</li> <li>Road on top of dam</li> </ul>	<b>OH, PE, L, G</b>	Air pollution, noise pollution, construction debris, Occupational health and safety risk	<b>S</b>
d	Special repairs/constructions/improvements to buildings including electrification and fencing	<b>OH, PE, L, G</b>	Air pollution, noise pollution, construction debris,	<b>L</b>

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	<ul style="list-style-type: none"> <li>Camp office, Quarters, IB, Police Guard Room</li> </ul>		Occupational health and safety risk	
e	Painting gates	OH, PE, L, G	water pollution, hazardous waste, Labour and GBV risk	L
3.	<b>Hydro-mechanical/Electrical activities Downstream of Dam site (with no interfacing with dam reservoir)</b>			
a	Supply and erection of entrance gate and Gauge plate	PE, L, G	waste generation from removed parts, Labour & GBV risk	L
	Repairs to shutters <ul style="list-style-type: none"> <li>Repairs/replacement of shutters with seals</li> <li>Repair/renewal of hoisting arrangements</li> </ul>	PE, L, G	waste generation from removed parts, Labour & GBV risk	L
4.	<b>Instrumentation, General lighting and SCADA systems</b>			
a	Providing electrification to dams <ul style="list-style-type: none"> <li>lights on the top of the dam, gallery, approach road</li> <li>Dam Electrification</li> </ul>	OH, PE, L, G	Occupational health and safety risk due to electrical work, waste generation from removed parts and packing material, labour and GBV risk	S
B.	<b>Pre-construction and construction stage major auxiliary or preparatory intervention</b>			
1	Types of project workers (Direct, Contracted, Community Workers (or Volunteers i.e. for EAP implementation)	L, G	GBV risk due to involvement of workers and local population	L
2	Labour Camp involved (location within dam premises or outside)	WQ, PE, L, G	Wastewater generation from domestic activities, waste generation, GBV risk within labour and involving community.	L
3	Migrant labour likely to be involved	L, G	Migrant labour having low degree of interface with community	L
4	Likely interface of Workers with communities	L, G	Risk of GBV due to labour interaction with community	L
5	Heavy machinery to be deployed and related maintenance workshop set up involved	OH, PE, L, G	Heavy machinery will be deployed for structural measures	L

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			- OH risk due to machine handling, waste, wastewater and air emissions from machines operations, Labour & GBV risk	
6	Concrete mixture and heavy pumps to be deployed	OH, PE, L, G	Concrete mixture and pumps will be deployed for road repair and other civil works and de-watering - OH risk due to machine handling, waste generation, wastewater and air emissions from operations, Labour &GBV risk	L
7	Haulage of machinery involved	OH, PE, L, G	Machines will be hauled from different location and brought to site; OHS risk during loading/unloading and air and noise pollution during transportation, labour and GBV risk	L
8	Debris/Silt Disposal involved	OH, PE, L, G	Debris will be generated from various activities - OH risk during debris handling, air and noise emissions from debris handling and transportation, water pollution risk due to debris finding its way to water body, and GBV risk due to labour involvement	L
9	Major Transport of materials involved	OH, PE, L, G	Material will be transported from various vendors and suppliers to site for civil,	L



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1	2	3	4	5
			hydro-mechanical work and instrumentation - OH risk during material handling, loading and unloading; ,air and noise emissions from transportation, Labour and GBV risk	

**Criteria for Risk Evaluation :**

**Low :** Localized, temporary and Negligible

**Moderate :** temporary, or short term and reversible under control

**Substantial :** medium term , covering larger impact zone, partially reversible

**High :** significant , non- reversible, long term and can only be contained/compensated

**Occupational Health and safety:** it will be treated as Substantial by default as OHS effect can be kept controlled and with negligible effect with adoption of defined guidelines,

**Annexure III: Stakeholder's consultation: List of Participants**

<b>Sl. No.</b>	<b>Name</b>	<b>Relation with Dam – Staff, contractor, worker, full time/part time, local, NGO....</b>	<b>Mobile Number</b>	<b>Address (at least village name)</b>
1.	P.Rajadhorai	Executive Engineer/ Civil/DRIP/Kundah	9445360725	Kundah Upper Camp
2.	M.Kathiresan	Assistant Executive Engineer/ Civil/DRIP/Glenmorgan	9445360734	Pykara Camp
3.	P. Sinduja	Assistant Engineer/ Civil/Generation Circle/Pykara	8940209754	Pykara Camp
4.	T.R.Eswari	Switch Board Assistant / Generation Circle/Pykara	9751057111	Glenmorgan Camp
5.	Er. Senthil	Assistant Engineer/ Electrical / Generation Circle/Pykara PH	9444659069	Pykara Camp
6.	K. Antony	Public in Punitha village	9487340213	D/o 325, Punitha Nagar Pykara, The Nilgiris.
7.	D. Vadivel	Public in Punitha village	9486959021	D/o 8/40, Punitha Nagar Pykara, The Nilgiris.
8.	K.Ramesh	Public in Punitha village	9626615452	D/o 349, Punitha Nagar Pykara, The Nilgiris.
9.	K. Minil Kumar	Master Chief Petty Officer(MCPO)/ DSSC/ Wellington.	8309796471	Defence Boat House(DSSC) Pykara dam
10.	Velayatham	Manager/ TTDC/ Pykara Boat House	9443603487	Pykara Boat House/ TTDC/Pykara